**Annex II Technical Proposal**

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| **SECTION 1: GENERAL APPLICANT’S INFORMATION** | | | |
| 1. Applicant’s Legal Name (In case of consortium, Lead Applicant): | | | |
| 2. Type of Organization (e.g. commercial for-profit firm, educational, non-profit etc): | | | |
| 3. In case of Joint Venture (JV), legal name of each party: | | | |
| 4. Actual or intended Country/ies of Registration/Operation: | | | |
| 5. Year of Registration: | | | |
| 6. Countries of Operation | 7. No. of staff in each Country | | 8.Years of Operation in each Country |
| 9. Legal Address/es in Country/ies of Registration/Operation: | | | |
| Website URL | |  | |
| Social Media URL (Facebook) | |  | |
| LinkedIn | |  | |
| YouTube | |  | |
| Instagram | |  | |
| Other | |  | |
| 13. Applicant’s Authorized Representative Information  Name:  Address:  Telephone/Fax numbers:  Email Address: | | | |
| 15. Attached are copies of original documents of:  ☐ Audited financial statement for the past year duly certified by a public accountant  ☐Letter from the applicant organization’s executive director, CEO, or board, expressing its commitment to the execution and participation in this process.  ☐Complete CVs of all the team members involved in the assignment  ☐ Staff time allocation spreadsheet per activity | | | |
| **SECTION 2: SPECIFIC EXPERIENCE FOR THE ASSIGNMENT. In the case of consortia, please refer to the relevant partner’s experience for similar projects. You can include up to 5 most relevant projects in the past 3 years**   |  |  |  |  | | --- | --- | --- | --- | | Name of the project |  | | | | Grant/contract value (USD) |  | Website if applicable | | | | Target Country(ies) |  | Implementation Period |  | | |  | | | | | | Name of the Client/funder |  | | | | | References contact details | name, | position | phone number email | |  |  |  | |  | | | | | | Description of the approach/methodology |  | | | | | Key outputs/deliverables produced |  | | | | | Key Performance Indicators/ Targets |  | | | | |  | | | | | | Names of the staff that participated in the assignment |  |  |  | | | Knowledge product production and dissemination strategy of the assignment |  | | | | | | | |
| 2.1. Please describe your organization’s experience in providing similar training courses. | | | |
| 2.2. Describe your firm’s experience in delivering training courses on inclusive digital financial services (DFS), particularly on regulation and supervision of financial technology (FinTech) and innovation, gender mainstreaming, and evidence-informed policymaking including lean data and low-resource options to improve regulatory reporting processes. (max 1 page) | | | |
| 2.3. Describe your organization’s previous experience delivering training courses on inclusive digital financial services (DFS), particularly on regulation and supervision of financial technology (FinTech) and innovation, gender mainstreaming, and evidence-informed policymaking including lean data and low-resource options to improve regulatory reporting processes. Experience should be limited to direct organization experience, not including subcontractors or partners. (Preference is for any LDC country and/or African context). (max 1 page) | | | |
| 2.4.   * If you are applying for Area of Intervention 1: Demonstrate your understanding of challenges faced by financial authorities in striking an appropriate balance between innovation and competition (by allowing new players into the market) and stability and consumer protection (by setting basic requirements). and articulate how you will help financial authorities consider relevant options.(max 1 page) * If you are applying for Area of intervention 2: Demonstrate your understanding of how policies and regulations can affect women’s experiences with financial services, e.g. agents such as shopkeepers can be important for women who are less mobile, risk-adjusted ID requirements can allow more women to open accounts, and consumer protection rules can protect women against abusive and aggressive business practices. (max 1 page) * If you are applying for Area of intervention 3: Demonstrate your understanding of major data collection challenges faced by many financial authorities (challenges such as delays in submission and low-quality and incomplete data) and your understanding of how crucial regulatory reporting data is for supporting effective risk-based supervision and building an evidence base from which to evaluate and improve policies and regulations. (max 1 page) | | | |
| 2.5 To what extent does the product or service address the SDGs/ Problem Statement/ market needs directly?  Describe your experience targeting financial authorities.  (max 1 page) | | | |
| 2.6 Share your organization’s understanding of the potential risks you may face in delivering on this assignment and the risk mitigation measures you will employ. (max ½ page) | | | |
| **SECTION 3: APPROACH AND IMPLEMENTATION PLAN (maximum 10 pages)** | | | |
| 3.1. Approach to the Results Required: Please provide a detailed description of the methodology for how the organisation(s)/firm(s) will achieve project outputs proposed keeping in mind the appropriateness to local conditions and project environment.   * Brief outline of mandate including vision and mission * Specific link with the DFS industry * Key performance indicators, e.g. number of courses delivered, number of participants per year  |  |  |  | | --- | --- | --- | | **Indicator** | **Required Results** | **Indicative date** | | Area of intervention 1: Training on regulation and supervision of financial technology (FinTech) and innovation  (French and/or English) | Equip policymakers, regulators and supervisors with various tools to respond to and monitor innovative financial services in safe and reliable ways, e.g. regulatory sandboxes, innovation hubs. | Q3 2021 | | Area of intervention 2: Training on gender mainstreaming in the context of digital financial services (DFS) policies and regulations  (French and/or English) | Equip policymakers, regulators and supervisors with various tools to apply a gender perspective across their work to advocate for and support gender-transformative changes to policies and regulations, e.g. public consultation, leadership development. | Q3 2021 | | Area of intervention 3: Training on evidence-informed policymaking including lean data and low-resource options to improve regulatory reporting processes  (French and/or English) | Equip policymakers, regulators and supervisors with various tools to set specific and measurable objectives, standardise and disaggregate data across the financial services industry, assess their current data infrastructure, and set up or improve data collection and analysis systems. | Q3 2021 | | Other area | … | … |   3.2. Approach to Partnership with UNCDF and field-based Partners:The applicant must provide a detailed description of how to plan, implement and deliver the overall project in partnership with UNCDF and policymakers and regulators. The applicant should describe how they will take the lead role in ensuring that there is sufficient and ongoing communication, both virtually and in person. This section should highlight the support necessary from UNCDF and local partners.  3.3. Technical Quality Assurance Review Mechanisms: The methodology shall also include details of the applicant’s internal technical and quality assurance review mechanisms.  3.4 Implementation Timelines: The Applicant shall submit a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing, specifying timelines, person responsible, and time devoted to each activity.  3.5. Sub-Grantees: Explain whether any work would be delegated, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-grantees. Especially in the case of consortiums, special attention should be given to providing a clear picture of the role of each entity and how everyone will function as a team.  3.6. Reporting and Monitoring: Please provide a brief description of the mechanisms proposed for this project for reporting to (and ongoing communication with) UNCDF and partners, including a reporting schedule (also reflected in Gantt chart) and indicators list in line with section 2.3 of the RFA.  3.9 Other: Any other comments or information regarding the project approach and methodology that will be adopted. | | | |
| **SECTION 4: PERSONNEL** | | | |
| 4.1 Management Structure: Describe the overall management approach toward planning and implementing this activity. Include an organization chart for the management of the project describing the relationship of key positions and designations along with information on the roles and structure of any sub-grantees, contractors, or other critical relationships.  4.2 Qualifications of Key Personnel. Provide the CVs for key personnel (Team Leader, Managerial and general staff) that will be provided to support the implementation of this project. CVs should demonstrate qualifications in areas relevant to the results to be produced. In **addition to the CVs**, please submit a summary for each person in the following format.   |  |  |  |  | | --- | --- | --- | --- | | **Name:** | |  | | | **Position for this Grant:** | |  | | | **Nationality:** | |  | | | **Contact information:** | |  | | | **Countries of Work Experience:** | |  | | | **Language Skills:** | |  | | | **Educational and other Qualifications:** | |  | | | **Summary of Experience:** *Highlight experience in the region and on similar projects.* | | | | | **Relevant Experience (From most recent):** | | | | | **Period: From – To** | **Name of activity/ Project/ funding organisation, if applicable:** | | **Job Title and Activities undertaken/Description of actual role performed:** | | *e.g. June 2004-January 2005* |  | |  | | *Etc.* |  | |  | | *Etc.* |  | |  | | **Reference no.1 (minimum of 3):** | *Name*  *Designation*  *Organization*  *Contact Information – Address; Phone; Email; etc.* | | | | **Reference no.2** | *Name*  *Designation*  *Organization*  *Contact Information – Address; Phone; Email; etc.* | | | | **Reference no.3** | *Name*  *Designation*  *Organization*  *Contact Information – Address; Phone; Email; etc.* | | | | **Declaration:**  I confirm my intention to serve in the stated position and present availability to serve for the term of the proposed grant agreement. I also understand that any wilful misstatement described above may lead to my disqualification, before or during my engagement.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Signature of the Nominated Team Leader/Member Date Signed | | | | |  | | | | | | | |
| **SECTION 5: BUDGET PROPOSAL**  **Budget proposals should not exceed US 250,000 that UNCDF has available to fund the achievement of these results. In the case of proposals by a consortium, please clearly indicate amounts for each partner. Applicants should use as a format the template provided in Annex 3 enclosed to this RFA.** | | | |
| Please provide budget allocation as outlined in Annex 3 or similar format  **Please provide budget allocation per result/milestone to be achieved in the following format (please refer to sections 2.1 and 2.3 of the RFA):**   1. Milestones  |  |  |  | | --- | --- | --- | | Indicative Payment date | Amount to be paid | Results/Milestone to be achieved as disbursement condition | |  |  |  | |  |  |  | | Total | USD… |  | | | | |